

# Search Report

# STIC Database Tracking Number 27/1466

To: JOSE DEES

Location: JEF-8D59

Art Unit: 2800

Friday, September 05, 2008 Patent Number: 5995221 From: DIANE JACKSON

Location: EIC2800

**JEF-4B68** 

Phone: (571)272-3260

diane.jackson@uspto.gov

# Search Notes

Attached are litigation search results in Lexis Nexis, and CourtLink and Questel-Orbit.

No Litigation was found for Patent Number 5995221.

If you have any questions, please feel free to contact me.

Thanks,

Diane -



271466

From:

Dees, Jose

Sent:

Friday, September 05, 2008 3:01 PM

To:

STIC-EIC2800

Subject: Reissue 09/998,002

Please do a litigation search for the patent 5,995,221.

· Thanks, J Dees

Jose' G. Dees Jose' G. Dees T-QAS, TC 2800 571-272-1569

#### Selected file: PLUSPAT

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RELOADED 02/2008 Comprehensive Worldwide Patents database

Individual records for each Country or Patent Office

Coverage: 78 patenting authorities; start dates vary from 1800 forward Abstracts/Titles in English , French & German. Increased standardization

of Assignee/Inventor names. Added cited ref's BE , CH , NL , TR , AU , & JPB

For PlusPat Fact Sheet , Pricing and FAQ , see the Questel website Last update of file: 2008/09/05 (YYYY/MM/DD) 2008-36/UP (last update)

Search statement 1

# nbr/pn us5995221

PLUSPAT1 US5995218	1
PLUSPAT2 US5995219	1
PLUSPAT3 US599522	1
PLUSPAT4 US5995220	1
PLUSPAT5 US5995221	1
PLUSPAT6 US5995222	1
PLUSPAT7 US5995223	1
PLUSPAT8 US5995224	1
PLUSPAT9 US5995225	1
PLUSPAT10US5995226	1
PLUSPAT11US5995227	1
PLUSPAT12US5995228	1
PLUSPAT13US5995229	1
PLUSPAT14US599523	1
PLUSPAT15US5995230	1
ome: numbers / Continue: Y / None: N	

Search 1 - 5 - 1

\*\* SS 1: Results 1

Continue: Y / N

prt full legalall max

Select All | Unselect All

1/1 PLUSPAT - Worldwide Patents - Questel



```
US5995221 (A) Modified concentric spectrograph
           US5995221 A 19991130 [US5995221]
 PN
 ΤI
        (A) Modified concentric spectrograph
 PA
        (A) INSTR S A INC (US)
 PA0
        Instruments S.A., Inc., Edison NJ [US]
        (A) SLUTTER WARREN S (US); JIANG WU (US); THEVENON ALAIN
 IN
        FR (FR); MILLET VIVIANE D (FR); GOLDSTONE JEREMY J (US)
 AP
        US88441797 19970627 [1997US-0884417]
 FD
        Rel. Prov. 60/039,207 19970228 [1997US-P039207]
        US88441797 19970627 [1997US-0884417]
 PR
        US3920797P 19970228 [1997US-P039207]
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        (A) G01J-003/28
        G01J-003/02 [2006-01 A - I R M EP]
        G01J-003/18 [2006-01 A - I R M EP]
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        G02B-005/18 [2006-01 A L I R M JP]
        G02B-013/00 [2006-01 A L I R M JP]
        G01J-003/00 [2006 C - I R M EP]
        G01J-003/12 [2006 C - I R M EP]
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        G02B-013/00 [2006 C L I R M JP]
        G01J-003/02
 EC
        G01J-003/18
 PCL
        ORIGINAL (O): 356326000
 DT
        Corresponding document
        US2594334 [US2594334]
        -US2835167 [US2835167]
        -US2975669 [US2975669]
        -US3490848 [US3490848]
        -US3909134 [US3909134]
        -US3930728 [US3930728]
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        -US4087183 [US4087183]
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        -US4241999 [US4241999]
        -US4300835 [US4300835]
        -US4312569 [US4312569]
        -US4455088 [US4455088]
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        -US5066127 [US5066127]
        -FR2653879 [FR2653879]
        -JP57-49822 [JP57049822]
```

-JP62-49308 [JP62049308]

- J. Thomas Brownrigg, "Design and performance of a miniature dual-beam diode-array spectrometer," Spectroscopy, vol. 10, Iss. 9 (Nov.-Dec., 1995), pp. 39-44.
- L. Mertz, Concentric Spectrographs, "Applied Optics," vol. 16, No. 12 (Dec. 1977), pp. 3122-3124.
- STG (A) United States patent

AB

A modified concentric spectrograph for diffracting light with high stray light rejection without astigmatism is provided. The modified spectrograph includes a grating, a lens, and at least one entrance port and one exit port. The grating has a concave surface and a meridian plane with a first side and a second side. The lens has a substantially planar surface and a convex surface. Preferably, the convex and concave surfaces are substantially separative. The parts are substantially leasted an different sides of the

surface. Preferably, the convex and concave surfaces are substantially concentric. The ports are substantially located on different sides of the meridian plane near a focal plane of the spectrograph. The position of a focal plane may be modified using an optically transmissive triangular prism with a reflective surface, and an optically transmissive block. The position of a focal plane may further be modified with one or more optically transmissive plates. Methods for using the spectrograph are also provided.

1/1 LGST - Legal Status - ©EPO

US5995221 20001128 US/CCA [COR]CERTIFICATE OF CORRECTION

PN US5995221 A 19991130 [US5995221](A) Patent

AP US88441797 19970627 [1997US-0884417]

19970627 US-API [POS; EXM]

FILING DETAILS

US88441797 19970627 [1997US-0884417]

19991130 US-A [POS; EXM]

Patent

**PUB** 

US5995221 A 19991130 [US5995221]

20001128 US/CC-A [COR]

CERTIFICATE OF CORRECTION

20010423 US/AS-A [NMC]

ASSIGNMENT

OWNER: JOBIN YVON, INC. 3880 PARK AVENUE EDISON NEW

JERSE; EFFECTIVE DATE: 19991110

CHANGE OF NAME; ASSIGNOR: INSTRUMENTS S.A.,

INC.;REEL/FRAME:011731/0529

20010423 US/AS-A [NMC]

**ASSIGNMENT** 

OWNER: JOBIN YVON, INC. 3880 PARK AVENUEEDISON, NEW

JERSE; EFFECTIVE DATE: 19991110

CHANGE OF NAME; ASSIGNOR: INSTRUMENTS S.A., INC.

/AR;REEL/FRAME:011731/0529

20020702 US/RF-A [OPP]

REISSUE APPLICATION FILED

EFFECTIVE DATE: 20011130

20041028 US/AS-A [NMC]

**ASSIGNMENT** 

OWNER: HORIBA JOBIN YVON INC. 3880 PARK AVENUEEDISON,

NEW; EFFECTIVE DATE: 20040916

CHANGE OF NAME; ASSIGNOR: JOBIN YVON INC.

/AR;REEL/FRAME:015312/0359

NMC

LEG Alive

UP 2006-04

1/1 CRXX - US Claims
Reassignations - ©CLAIMS/RRX

US5995221 20010423 REASSIGNEDCHANGE OF NAMEAssignor:

INSTRUMENTS S.A., INC. DATE SIGNE...

AN 3247224

PN 5,995,221 A 19991130 [US5995221]

PA Instruments S A Inc

PT E (Electrical)

20010423 REASSIGNED

**CHANGE OF NAME** 

Assignor: INSTRUMENTS S.A., INC. DATE SIGNED: 11/10/1999

ACT Assignee: JOBIN YVON, INC. 3880 PARK AVENUE EDISON NEW

**JERSEY 08820** 

Reel 011731/Frame 0529

Contact: FISH & NEAVE JEFFREY H. INGERMAN, ESQ. 1251 AVENUE

#### OF THE AMERICAS NEW YORK, NY 10020

20011130 REISSUE REQUESTED
ISSUE DATE OF O.G.: 20020702
REISSUE REQUEST NUMBER: 09/998002
EXAMINATION GROUP RESPONSIBLE FOR REISSUEPROCESS: 2876

Reissue Patent Number:

20041028 REASSIGNED CHANGE OF NAME

Assignor: JOBIN YVON INC., DATE SIGNED: 09/16/2004

Assignee: HORIBA JOBIN YVON INC., 3880 PARK AVENUE, EDISON, NEW JERSEY, 08820

Reel 015312/Frame 0359

Contact: ANTHONY HANDAL, KIRKPATRICK & LOCKHART, 599 LEXINGTON AVENUE, NEW YORK, NY 10022

UP 2000-48 UACT 2002-07-02 URAS 2004-11-02

Search statement 2 Selected file: FAMPAT

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RELOADED 02/2008 Searchable bibliographic & abstracts-all family members
Search & display options for both FamPat & extended family definition
Coverage: 78 patenting authorities; start dates vary from 1800 forward
Abstracts/Titles in English , French & German. Increased

of Assignee/Inventor names. Added cited ref's BE , CH , NL , TR , AU , & .IPR

For more details , see the FamPat Fact Sheet and Questel website Last update of file: 2008/08/29 (YYYY/MM/DD) 2008-35/UP (last update)

Search statement

standardization

nbr /pn us5995221			
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FAMPAT2 US5995219		•	1
FAMPAT3 US599522			1
FAMPAT4 US5995220		•	1
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FAMPAT15US5995230			1
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Search 1 - 5 - 1	<del></del>	·····	
** SS 1: Results 1			
Continue: Y / N			
prt full legalall max			

#### Select All | Unselect All

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1/1 FAMPAT - Patent Families - @Questel
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EP-862050 Modified concentric spectrograph
FAN 20042790133990

EP0862050 A2 19980902 [EP-862050]

JP10293062 A 19981104 [JP10293062]
PN US5995221 A 19991130 [US5995221]

EP0862050 A3 20000412 [EP-862050]

JP3450699 B2 20030929 [JP3450699]
TI Modified concentric spectrograph
PA INSTR S A INC
```

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INSTR SA INC
      Instruments S.A., Inc.; 3880 Park Avenue; Edison, New Jersey 08820 (US)
PA0
      SLUTTER WARREN S; MILLET VIVIANE D; JIANG WU;
IN
      GOLDSTONE JEREMY J; THEVENON ALAIN F R
       1997US-0884417 19970627
      1998EP-0301487 19980227
AP
      1998JP-0048359 19980227
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FD
      Rel. Prov. 60/039,207 19970228 [1997US-P039207]
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PR
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      G01J-003/02
       G01J-003/12
IC
      G01J-003/18
       G01J-003/28
       G02B-005/18
       G02B-013/00
       G01J-003/02 [2006-01 A - I R M EP]
      G01J-003/18 [2006-01 A - I R M EP]
       G02B-005/18 [2006-01 A L I R M JP]
       G02B-013/00 [2006-01 A L I R M JP]
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       G02B-005/18 [2006 C L I R M JP]
       G02B-013/00 [2006 C L I R M JP]
       G01J-003/02
EC
       G01J-003/18
PCL
       ORIGINAL (O): 356326000
       G01J3/18; G02B5/18; G02B13/00
FI
       2G020 BA20; 2G020 CB02; 2G020 CC05; 2G020 CC06; 2G020 CC41;
      2G020 CC51; 2H049 AA08; 2H049 AA58; 2H049 AA64; 2H087 KA12;
FTM
       2H087 LA26; 2H087 RA46; 2H249 AA08; 2H249 AA58; 2H249 AA64
       (EP-862050)
DS
       AT BE CH DE DK ES FI FR GB GR IE IT LI LU MC NL PT SE
       (EP-862050)
       Cited in the search report
       -WO9002928(A1)(Cat. A)[WO9002928]
       -FR2653879(A)(Cat. A)[FR2653879]
       -US5066127(A)(Cat. A)[US5066127]
CT
       -US4068954(A)(Cat. A)[US4068954]
       -US4455088(A)(Cat. A)[US4455088]
       -US4850706(A)(Cat. A)[US4850706]
       -L.MERTZ: "Concentric Specrtrographs" APPLIED OPTICS, vol. 16, no.
       12, December 1977 (1977-12), pages 3122-3124, XP000861770 Optical
       Society of America, Washington, US(Cat. A)[XP000861770]
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(US5995221)
       US2594334 [US2594334]
       -US2835167 [US2835167]
       -US2975669 [US2975669]
       -US3490848 [US3490848]
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       -US4455088 [US4455088]
       -US4575242 [US4575242]
       -US4618260 [US4618260]
       -US5066127 [US5066127]
       -FR2653879 [FR2653879]
       -JP57-49822 [JP57049822]
       -JP62-49308 [JP62049308]
       J. Thomas Brownrigg, "Design and performance of a miniature dual-beam
       diode-array spectrometer," Spectroscopy, vol. 10, Iss. 9 (Nov.-Dec., 1995),
       pp. 39-44.
       - L. Mertz, Concentric Spectrographs, "Applied Optics," vol. 16, No. 12
       (Dec. 1977), pp. 3122-3124.
       (JP10293062)
       (A)
       [19] Citation as reason for refusal of an application
       - US (B) 004850706 [US4850706]
CT
       - US (B) 004455088 [US4455088]
       - US (B) 004068954 [US4068954]
       - US (B) 005066127 [US5066127]
       - WO (A) 090002928 [WO9002928]
       (EP-862050)
       A modified concentric spectrograph for diffracting light with high stray light
       rejection without astigmatism is provided. The modified spectrograph
       includes a grating, a lens, and at least one entrance port and one exit port.
       The grating has a concave surface and a meridian plane with a first side and
       a second side. The lens has a substantially planar surface and a convex
AB
       surface. Preferably, the convex and concave surfaces are substantially
       concentric. The ports are substantially located on different sides of the
       meridian plane near a focal plane of the spectrograph. The position of a focal
       plane may be modified using an optically transmissive triangular prism with
       a reflective surface, and an optically transmissive block. The position of a
       focal plane may further be modified with one or more optically transmissive
```

plates. Methods for using the spectrograph are also provided. <IMAGE>

(EP-862050)

A modified concentric spectrograph for diffracting light with high stray light rejection without astigmatism is provided.

This invention relates to diffraction-grating spectrographs.

More particularly, this invention relates to concentric diffraction-grating spectrographs with modified arrangements of their optical components. Another aim is to provide a modified concentric spectrograph that can be used to simultaneously disperse light from one or more polychromatic light sources.

A further aim is to provide a modified concentric spectrograph which is compact, relatively inexpensive to manufacture, and relatively immune to miscalibration.

(EP-862050)

Therefore, it would be desirable to provide a high throughput concentric spectrograph, which also has high stray light rejection without the use of filters.

An advantage of this modified arrangement is that negative second order light that is reflectively diffracted twice is not directed toward the lens and focused at the exit port.

Large intensities are desirable because it provides more light for subsequent detection.

Some of that light is directed toward the exit port, which appears as a faint undesirable spectrum that overlaps with the desired spectrum, a phenomenon called spectral overlap.

This arrangement, however, has the important disadvantage of contaminating the desired spectrum at the exit port with stray light due to internal reflections of other spectra.

However, this arrangement, as described more fully below, has the important disadvantage of contaminating desirable diffracted light with stray light at exit port 110.

(EP-862050)

- 1. A modified concentric spectrograph comprising:
- a grating having an optical axis, a meridian plane, and a concave surface, said meridian plane having a first side and a second side;
- a lens having a substantially planar surface, a convex surface, and an optical ICLM axis, wherein said convex surface faces said concave surface, said optical axes being substantially coaxial;
  - a primary entrance port being located substantially cut of sai idian plane toward said first side; and
  - a primary exit port being located substantially out of said meridian plane toward said second side.

UP 2000-08

ADB

OBJ

1/2 LGST - Legal Status - ©EPO

US5995221 20001128 US/CCA [COR]CERTIFICATE OF CORRECTION

PN US5995221 A 19991130 [US5995221](A) Patent

AP US88441797 19970627 [1997US-0884417]

19970627 US-API [POS; EXM]

FILING DETAILS

PUB US88441797 19970627 [1997US-0884417]

19991130 US-A [POS; EXM]

Patent

US5995221 A 19991130 [US5995221]

20001128 US/CC-A [COR]

CERTIFICATE OF CORRECTION

20010423 US/AS-A [NMC]

**ASSIGNMENT** 

OWNER: JOBIN YVON, INC. 3880 PARK AVENUE EDISON NEW

JERSE; EFFECTIVE DATE: 19991110

CHANGE OF NAME; ASSIGNOR: INSTRUMENTS S.A.,

INC.;REEL/FRAME:011731/0529

20010423 US/AS-A [NMC]

ASSIGNMENT

OWNER: JOBIN YVON, INC. 3880 PARK AVENUEEDISON, NEW

ACT JERSE; EFFECTIVE DATE: 19991110

CHANGE OF NAME; ASSIGNOR: INSTRUMENTS S.A., INC.

/AR;REEL/FRAME:011731/0529

20020702 US/RF-A [OPP]

REISSUE APPLICATION FILED

**EFFECTIVE DATE: 20011130** 

20041028 US/AS-A [NMC]

**ASSIGNMENT** 

OWNER: HORIBA JOBIN YVON INC. 3880 PARK AVENUEEDISON,

NEW; EFFECTIVE DATE: 20040916

CHANGE OF NAME; ASSIGNOR: JOBIN YVON INC.

/AR;REEL/FRAME:015312/0359

LEG NMC

Alive

UP 2006-04

2/2 LGST - Legal Status - ©EPO

EP-862050 19980902 EP/AKA [POS; ADM]DESIGNATED CONTRACTING STATES:BENANNTE VERTRAGSST...

PN EP0862050 A2 19980902 [EP-862050](A2) Application published without search report EP0862050 A3 20000412 [EP-862050](A3) Search report

AP EP98301487 19980227 [1998EP-0301487]

19980227 EP-API [POS; EXM]

FILING DETAILS

EP98301487 19980227 [1998EP-0301487]

19980902 EP-A2 [POS; EXM]

PUB Application published without search report

EP0862050 A2 19980902 [EP-862050]

20000412 EP-A3 [POS; EXM]

Search report

EP0862050 A3 20000412 [EP-862050]

19980902 EP/AK-A [POS; ADM]

DESIGNATED CONTRACTING STATES:

BENANNTE VERTRAGSSTAATEN

AT BE CH DE DK ES FI FR GB GR IE IT LI LU MC NL PT SE

19980902 EP/AX-A [POS; ADM] EXTENSION OF THE EUROPEAN PATENT TO ERSTRECKUNG DES EUROPAEISCHEN PATENTS AUF AL;LT;LV;MK;RO;SI

20000412 EP/AK-A [POS; ADM]

**DESIGNATED CONTRACTING STATES:** 

BENANNTE VERTRAGSSTAATEN

AT BE CH DE DK ES FI FR GB GR IE IT LI LU MC NL PT SE

**ACT** 

20000412 EP/AX-A [POS; ADM]

EXTENSION OF THE EUROPEAN PATENT TO

ERSTRECKUNG DES EUROPAEISCHEN PATENTS AUF

AL;LT;LV;MK;RO;SI

20001220 EP/AKX-A [POS; ADM; PIF]

PAYMENT OF DESIGNATION FEES

ZAHLUNG VON BENENNUNGSGEBUEHREN

20010125 EP/REG-A; DE/8566 [NEG; RLW]

REFERENCE TO A NATIONAL CODE

DE: DESIGNATED COUNTRY DE NOT LONGER VALID

Corresponding cc: DE

20010711 EP/18D-A [NEG; NIF] DEEMED TO BE WITHDRAWN ALS ZURUECKGENOMMEN GELTEN EFFECTIVE DATE: 20001013

LEG NIF Dead

UP 2003-22

1/1 CRXX - US Claims
Reassignations - ©CLAIMS/RRX

US5995221 20010423 REASSIGNEDCHANGE OF NAMEAssignor: INSTRUMENTS S.A., INC. DATE SIGNE...

AN 3247224

PN 5,995,221 A 19991130 [US5995221]

PA Instruments S A Inc

PT E (Electrical)

20010423 REASSIGNED CHANGE OF NAME

Assignor: INSTRUMENTS S.A., INC. DATE SIGNED: 11/10/1999

Assignee: JOBIN YVON, INC. 3880 PARK AVENUE EDISON NEW

**JERSEY 08820** 

Reel 011731/Frame 0529

Contact: FISH & NEAVE JEFFREY H. INGERMAN, ESQ. 1251 AVENUE

OF THE AMERICAS NEW YORK, NY 10020

ACT

20011130 REISSUE REQUESTED ISSUE DATE OF O.G.: 20020702

REISSUE REQUEST NUMBER: 09/998002

**EXAMINATION GROUP RESPONSIBLE FOR REISSUEPROCESS: 2876** 

Reissue Patent Number:

# 20041028 REASSIGNED CHANGE OF NAME

Assignor: JOBIN YVON INC., DATE SIGNED: 09/16/2004

Assignee: HORIBA JOBIN YVON INC., 3880 PARK AVENUE, EDISON,

NEW JERSEY, 08820

Reel 015312/Frame 0359

Contact: ANTHONY HANDAL, KIRKPATRICK & LOCKHART, 599 LEXINGTON AVENUE, NEW YORK, NY 10022

UP 2000-48 UACT 2002-07-02 URAS 2004-11-02

Search statement

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Patent Search 5995221 9/5/2008

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Source: Combined Source Set 10 3 - Utility, Design and Plant Patents Terms: patno=5995221 (Edit Search | Suggest Terms for My Search)

884417 (08) 5995221 November 30, 1999

#### UNITED STATES PATENT AND TRADEMARK OFFICE GRANTED PATENT

#### 5995221

Get Drawing Sheet 1 of 7 Access PDF of Official Patent \* Order Patent File History / Wrapper from REEDFAX® Link to Claims Section

November 30, 1999

Modified concentric spectrograph

REISSUE: November 30, 2001 - Reissue Application filed Ex. Gp.: 2876; Re. S.N. 09/998,002 (O.G. July 2, 2002)

INVENTOR: Slutter, Warren S. - Lebanon, New Jersey, United States (US); Jiang, Wu - South Plainfield, New Jersey, United States (US); Thevenon, Alain F. R. - Bretigny sur Orge, France (FR); Millet, Viviane D. -Linas, France (FR); Goldstone, Jeremy J. - Piscataway, New Jersey, United States (US)

CERT-CORRECTION: November 28, 2000 - a Certificate of Correction was issued for this patent (O.G. November 28, 2000)

**APPL-NO:** 884417 (08)

FILED-DATE: June 27, 1997

**GRANTED-DATE:** November 30, 1999

ASSIGNEE-PRE-ISSUE: January 13, 1998 - ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS)., INSTRUMENTS S.A., INC. 3880 PARK AVENUEEDISON, NEW JERSEY, 08820, Reel and

Frame Number: 008944/0298

ASSIGNEE-AT-ISSUE: Instruments S.A., Inc., Edison, New Jersey, United States (US), United States company or corporation (02)

ASSIGNEE-AFTER-ISSUE: April 23, 2001 - CHANGE OF NAME (SEE DOCUMENT FOR DETAILS)., JOBIN YVON, INC. 3880 PARK AVENUEEDISON, NEW JERSEY, 08820, Reel and Frame Number: 011731/0529 October 28, 2004 - CHANGE OF NAME (SEE DOCUMENT FOR DETAILS)., HORIBA JOBIN YVON INC. 3880 PARK AVENUEEDISON, NEW JERSEY, 08820, Reel and Frame Number: 015312/0359

LEGAL-REP: Fish & Neave; Ingerman, Jeffrey H.; Alten, Brett G.

PUB-TYPE: November 30, 1999 - Utility Patent having no previously published pre-grant publication (A)

**PUB-COUNTRY:** United States (US)

**US-MAIN-CL:** 356#326

CL: 356

Combined Source Set 14 📑 - Intellectual Property Cases, Admir and CFR - Titles 19 and 37; Federal Register Documents Relating Decisions, Combined; Intellectual Property Law Review Articles, Combined; Intellectual Property Law, Combined	To Patent Issues; U.S. Patent & Trademark Office
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(5995221 or 5,995,221)	Suggest terms for my search
	<u>Check spelling</u>
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# No Documents Found

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- OR -

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- Use a less restrictive date range.



**Edit Search** 



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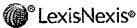
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